

09/857614

531 Rec'd PCT/77 06 JUN 2001

PATENTS

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****Applicant(s):** Atsushi Okawa, et al.**Examiner:** Unassigned**Serial No:** To be assigned**Art Unit:** Unassigned**Filed:** Herewith**Docket:** 14666**For:** OPTICAL SCANNING PROBE  
SYSTEM**Dated:** June 6, 2001H4/IDB  
2/21/03Assistant Commissioner for Patents  
United States Patent and Trademark Office  
Washington, D.C. 20231**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. U.S. Patent No. 5,120,953, dated June 9, 1992, issued to Harris (corresponding to WO 90/00754);
2. Japanese Laid-Open Patent Application No. 1-297044, dated November 30, 1989 together with English language abstract;
3. Japanese Laid-Open Patent Application No. 4-409, dated January 6, 1992 together with English language abstract;
4. Japanese Laid-Open Patent Application No. 9-145721, dated June 6, 1997 (equivalent to U.S. Patent No. 5,952,562);

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"****Express Mailing Label No.: EL915257342US****Date of Deposit: June 6, 2001**

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents and Trademarks, Washington, D.C. 20231 on June 6, 2001.

Dated: June 6, 2001

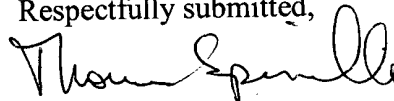
Mishelle Mustafa

5. Japanese Laid-Open Patent Application No. 6-281445, dated October 7, 1994 together with English language abstract;
6. Japanese Laid-Open Patent Application No. 5-344764, dated December 24, 1993 together with English language abstract;
7. Japanese Laid-Open Patent Application No. 9-21970, dated January 21, 1997 together with English language abstract;
8. Japanese Laid-Open Patent Application No. 5-136953, dated June 1, 1993 together with English language abstract;
9. Japanese Laid-Open Patent Application No. 10-311949, dated November 24, 1998 together with English language abstract; and
10. U.S. Patent No. 5,742,419, dated April 21, 1998 issued to Dickensheets et al.

References 1-9 were cited in a Search Report received from the Japanese Patent Office, dated December 26, 2000. Applicants are submitting copies of the above-cited references, together with a copy of the Search Report. The relevance of the above-identified references has been described in the Search Report. Reference 1 was cited in the specification.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required

Respectfully submitted,



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(Use several sheets if necessary)

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**To be assigned**

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APPLICANT(S) **531 R**  
**Atsushi Okawa, et al.**

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06 JUN 2001

FILING DATE

**Herewith**

GROUP

## Unassigned

[illegible][illegible]


**EXAMINER**

**DATE CONSIDERED**

**\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.**

**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)

ATTY DOCKET NO.  
14

SERIAL NO. 09/857614  
To be assigned

APPLICANT (\$31 Rec'd PC)  
Atsushi Okawa, et al.

06 JUN 2001

FILING DATE  
Herewith

GROUP  
Unassigned

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,120,953	6/9/92	Harris			
	5,952,562	9/14/99	Yagi et al.			
	5,742,419	4/21/98	Dickensheets et al.			

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 90/00754	1/25/90	PCT				
	1-297044	11/30/89	Japan			✓	
	4-409	1/6/92	Japan			✓	
	9-145721	6/6/97	Japan			✓	
	6-281445	10/7/94	Japan			✓	

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER	DATE CONSIDERED
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